



Dep to kg  
Room 307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: YIV ET AL. Examiner: UNKNOWN  
Serial No.: 09/957,434 Group Art Unit: UNKNOWN  
Filed: SEPTEMBER 19, 2001 Docket: 12152.48USII  
Due Date: DECEMBER 19, 2001  
Title: GEL-MICROEMULSION FORMULATIONS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 2, 2001.

By: *Kristine A. Wach*  
*Kristine A. Wach*

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Verified Statement (Declaration) Claiming Small Entity Status - Nonprofit Organization
- ☒ Other: Refund Request Under 37 C.F.R. § 1.28
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

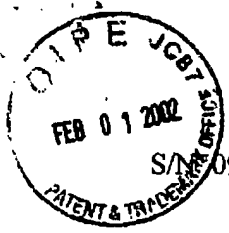
MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: *Brian C. Whipps*  
Name: Brian C. Whipps  
Reg. No.: 43,261  
BWhipps:WMS:PSTkaw



(PTO TRANSMITTAL - GENERAL)

#9



S/N 09/957,434

PATENT

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CERTIFICATE UNDER 37 CFR 1.8:  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on December 7, 2001.  
*Kristine A. Wach*  
Kristine A. Wach

REFUND REQUEST UNDER 37 C.F.R. § 1.28

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants respectfully request a refund pursuant to 37 C.F.R. § 1.28(b). In particular, Applicants submitted a fee of \$1664.00 on September 19, 2001 for the basic fee for filing a Continuation of an International Application with 53 additional claims. Actually, pursuant to 37 C.F.R. § 1.27, a fee of only \$832.00 was owed. Enclosed herewith is a Declaration claiming small entity status, signed by one of the inventors pursuant to 37 C.F.R. § 1.27(c)(2)(ii).

Applicants respectfully request that the amount of \$832.00 be refunded.

If desired, the refund may be made via the deposit account No. 13-2725 for Merchant & Gould.



Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612) 332-5300

*Brian Whipps*  
Brian Whipps  
Reg. No. 43,261  
BCW:WMS

Date: 12-7-01		130.00 UP
Adjustment date: 06/17/2002 AKELLEY		
01/04/2002 SLUANG1 00000007 09957434		
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FC:203		
FC:205		

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